

Data Structures and Algorithm Analysis

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In this lecture

- Asymptotic Performance

- How does the algorithm behave as the problem size gets very large.... ?

- Asymptotic Notations

- O

- Θ

- Ω

- o

- ω

What is asymptotic analysis ?

- **Asymptotic analysis** deals with analyzing the properties of the running time when the input size goes to infinity (this means a very large input size)
 - The differences between orders of growths are **more significant** for larger input size. Analyzing the running times on small inputs **does not allow us to distinguish** between efficient and inefficient algorithms
 - The objective of asymptotic analysis is to describe the behavior of a function $T(N)$ as it goes to infinity.
 - Asymptotic notations are used to describe the asymptotic analysis

Function Bounds..

- Lets understand with the help of example. Suppose we have a function $10N^2$
- Can we say it is bounded by $11N^2$ and $9N^2$ for all $N \geq 1$?
 - i.e $10N^2$ cannot go above $11N^2$ and doesn't come down below $9N^2$ for all values of N . $10N^2$ is sandwiched between $9N^2$ and $11N^2$
 - Now if $f(n)$ is $10N^2$ and $g(n)$ is N^2
 - Then we say that $f(n)$ is $\Theta(g(n))$
 - (explanation later..)

Asymptotic Notations

big-Theta $\Theta(g(n))$

Big-Oh $O(g(n))$

big-Omega $\Omega(g(n))$

little-oh $o(g(n))$

little-omega $\omega(g(n))$

Big-Theta



- A function $f(n)$ is $\Theta(g(n))$ if there exist a positive constants c_1 , c_2 , and n_0 such that

$$0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n), \text{ for all } n \geq n_0$$

- We define $\Theta(g(n))$ to be a **set** of functions that are **asymptotically equivalent** to $g(n)$
- A function $f(n)$ belongs to the set $\Theta(g(n))$, if there exist positive constants c_1 and c_2 , such that $f(n)$ can be "sandwiched" between $c_1g(n)$ and $c_2g(n)$, for sufficiently large n .

- Representation:

- “ $f(n) = \Theta(g(n))$ ” or

- “ $f(n) \in \Theta(g(n))$ ”

- We say this as:

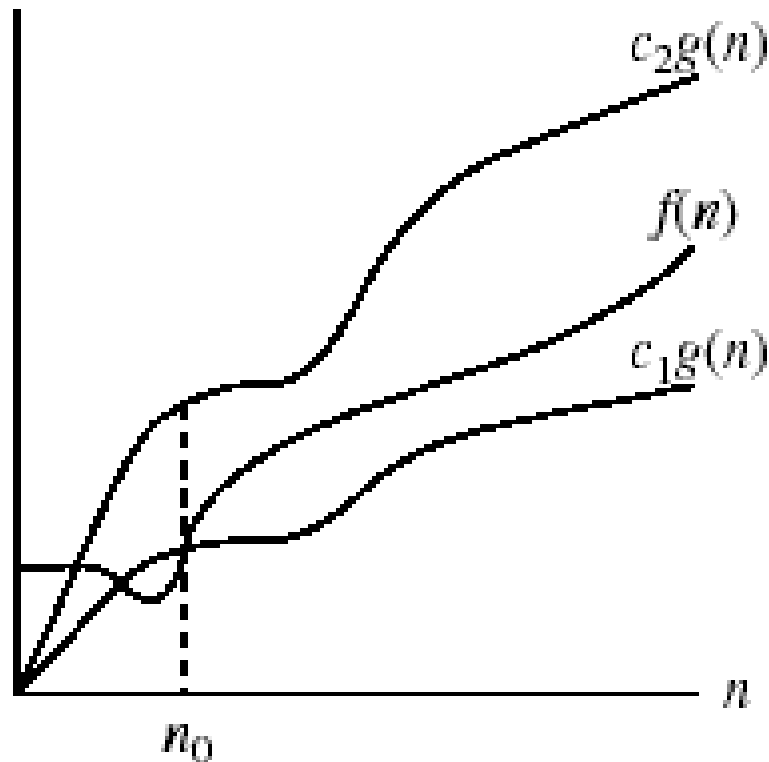
- $f(n)$ and $g(n)$ are ***asymptotically equivalent***.

- Or

- $g(n)$ is ***asymptotically tight bound*** for $f(n)$

$$f(n) = \Theta(g(n))$$

$$0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n)$$



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- The following equations are asymptotically equivalent
 - $5n^2$
 - $2n^2 - 5n + 10$
 - $(8n^2 + 2n - 3)$
 - $(n^2/5 + \sqrt{n} - 10 \log n)$
 - $n(n - 3)$

As 'n' becomes large, the **dominant** term is some constant times **n^2**

Lower and upper bounds *(Example 1)*

- $f(n) = 8n^2 + 2n - 3$
 - To show that $f(n) \in \Theta(n^2)$
 - We need to find the following three values.
 - c_1 , c_2 and n_0
- To find Lower bound we need c_1 and n_0
- To find Upper bound we need c_2 and n_0
 - We will have two n_0 , select the maximum n_0

Finding c_1 and n_0 (Example 1)

Lower bound: $0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n)$

$$f(n) = 8n^2 + 2n - 3, f(n) \in \Theta(n^2)$$

- $c_1 n^2 \leq 8n^2 + 2n - 3$??

- $7n^2 \leq 8n^2 + 2n - 3$

- $c_1 = 7$

- $N_0 = 1$

c_1 can be anything lesser than the constant with n^2 of the expression

$$n_0 = 1$$

$$7(1)^2 \leq 8(1)^2 + 2(1) - 3$$

$$7 \leq 8 + 2 - 3$$

$$7 \leq 7$$

Finding c_2 and n_0 (Example 1)

Upper Bound: $0 \leq c_1g(n) \leq f(n) \leq c_2g(n)$

$$f(n) = 8n^2 + 2n - 3, f(n) \in \Theta(n^2)$$

$$8n^2 + 2n - 3 \leq c_2n^2$$

$$8n^2 + 2n - 3 \leq 9n^2$$

$$\Rightarrow 8n^2 + 2n - 3 \leq 9n^2$$

c_2 can be anything greater than the constant with n^2 of the expression

$$c_2 = 9$$

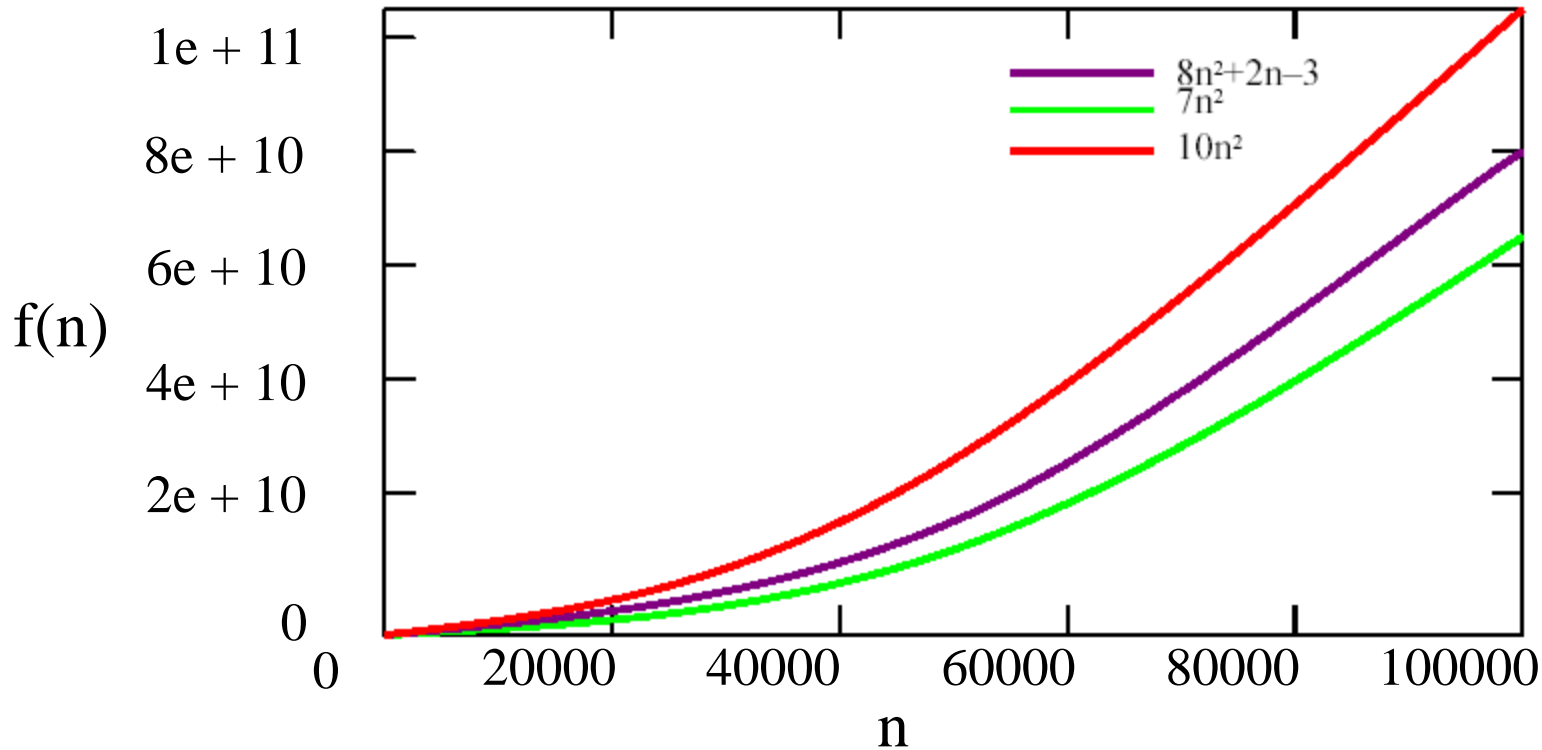
$$N_0 = 1$$

$$f(n) = \Theta(g(n))$$

$$f(n) = 8n^2 + 2n - 3$$

$$g(n) = n^2,$$

$$c_1 = 7, c_2 = 10, n_0 = 1$$



$$1/2n^2 - 3n = \Theta(n^2)$$

$$c_1n^2 \leq 1/2n^2 - 3n \leq c_2n^2$$

for all $n \geq n_0$. Dividing by n^2 yields

$$c_1 \leq 1/2 - 3/n \leq c_2.$$

$$c_1 = 1/14$$

$$c_2 = 1/2$$

$$n_0 = 7$$

OR

$$c_1 = 1/4$$

$$n_0 = 13$$

Intuitively, the lower-order terms of an asymptotically positive function can be ignored in determining asymptotically tight bounds because they are insignificant for large n . A tiny fraction of the highest-order term is enough to dominate the lower-order terms. Thus, setting c_1 to a value that is slightly smaller than the coefficient of the highest-order term and setting c_2 to a value that is slightly larger permits the inequalities in the definition of Θ -notation to be satisfied. The coefficient of the highest-order term can likewise be ignored, since it only changes c_1 and c_2 by a constant factor equal to the coefficient.

Example 2

$$\underline{f(n) = 2n^2 - 5n + 10}$$

$$\underline{f(n) \in \Theta(n^2)??}$$

- $1n^2 \leq 2n^2 - 5n + 10$... for lower bound
– $C_1 = 1, N_0 = 1$
- $2n^2 - 5n + 10 \leq 2n^2$... for upper bound
– $C_1 = 2, N_0 = 2$

Practice

$$f(n) = (3n^2 / 2) + (5n/2) - 3$$

$$f(n) \in \Theta(n^2)??$$

$$- C_1 = 1$$

$$- C_2 = 2,$$

$$- N_0 = ???$$

Example 3

- $f(n) = 3n+3$
- $g(n) = n$
 - $f(n) \in \Theta(n)$

$$C_1=2, N_0 = 1$$

$$C_2=4, N_0 = 3$$

$$N_0 = 3$$

Suppose ...

- $f(n) = 2n^3 - 5n^2 + 10n + 1$
- $g(n) = n^2$
- Is $f(n) \in \Theta(g(n))$???
- No.

$$6n^3 \neq \Theta(n^2)$$

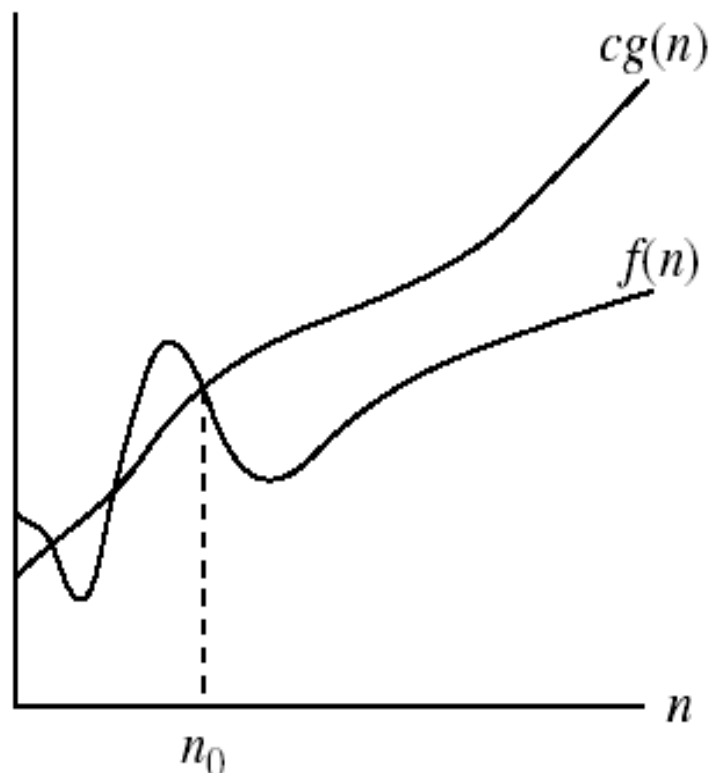
Lower bound: $5n^2 \leq 6n^3$

Upper Bound: $6n^3 \leq ?n^2 \dots \textit{always false}$

O-notation (Big-O)

- Sometimes we are only interested in proving **one bound** or the other
- We use O-notation, when we have only an **asymptotic upper bound**
- $O(g(n)) = \{f(n) \mid \text{there exist positive constants 'c' and 'n}_0\text{' such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}$
- We write it as $f(n) = O(g(n))$

- ' $O(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}$.



$g(n)$ is an *asymptotic upper bound* for $f(n)$.

-
- If any quadratic function $an^2 + bn + c$ is in $\Theta(n^2)$ is also a $O(n^2)$

- Example:

$$-f(n) = 2n^2$$

$$-g(n) = n^2$$

$$-f(n) = O(g(n))$$

$$\text{for } c = 5/2, n_0 = 1$$

Practice examples

Examples of functions in $O(n^2)$:

$$n^2$$

$$n^2 + n$$

$$n^2 + 1000n$$

$$1000n^2 + 1000n$$

Also,

$$n$$

$$n/1000$$

$$n^{1.99999}$$

$$n^2 / \lg \lg \lg n$$

-
- $2n^2 = O(n^3)$:

$$2n^2 \leq cn^3 \Rightarrow c = 1 \text{ and } n_0 = 2$$

- $n^2 = O(n^2)$

$$n^2 \leq cn^2 \Rightarrow c = 1 \text{ and } n_0 = 1$$

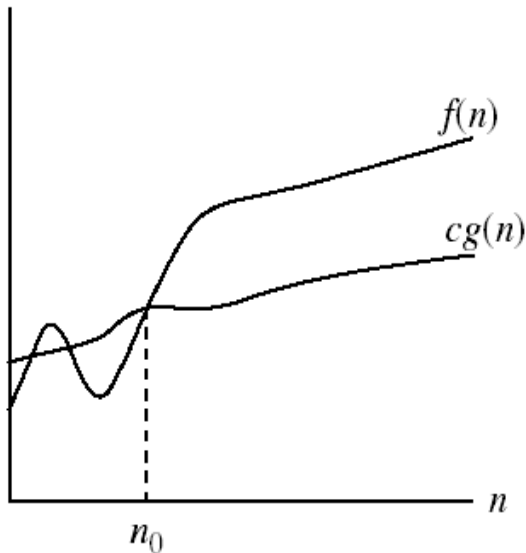
- $1000n^2 + 1000n = O(n^2)$

$$1000n^2 + 1000n \leq cn^2 \Rightarrow c = 1001 \text{ and } n_0 = 1000$$

Ω - notation

- Just as O -notation provides an asymptotic *upper* bound on a function, omega notation provides an ***asymptotic lower bound***.
 - $\Omega(g(n))$ = the set of functions with a larger or same order of growth as $g(n)$

$$\Omega(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\} .$$



$g(n)$ is an *asymptotic lower bound* for $f(n)$.

- $5n^2 = \Omega(n)$

- $0 \leq cn \leq 5n^2$, $c = 1$ and $n_0 = 1$

- $100n + 5 \neq \Omega(n^2)$

- $0 \leq cn^2 \leq 100n + 5$

- $n = \Omega(n)$

- $n^3 = \Omega(n^2)$

Examples of functions in $\Omega(n^2)$:

$$n^2$$

$$n^2 + n$$

$$n^2 - n$$

$$1000n^2 + 1000n$$

$$1000n^2 - 1000n$$

Also,

$$n^3$$

$$n^{2.00001}$$

$$n^2 \lg \lg \lg n$$

$$2^{2^n}$$

Theorem



$$f(n) = \Theta(g(n))$$

if and only if

$$f = O(g(n)) \text{ and } f = \Omega(g(n))$$